

REC'D 17 MAR 2004

WIPO

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

24 SEP 2004


(Rationalised Report according to the Notice of the President of the EPO published in the OJ11/2001)

|  |   |   |
|--|---|---|
| Applicant's or agent's file reference<br><b>1-32411A/FMI</b>                                     | <b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |   |
| International application No.<br><b>PCT/EP03/03150</b>   | International filing date (day/month/year)<br><b>26/03/2003</b>   | Priority date (day/month/year)<br><b>27/03/2002</b> |
| International Patent Classification (IPC) or national classification and IPC<br><b>C07K14/47</b> |   |   |
| Applicant<br><b>NOVARTIS FORSCHUNGSSITIFTUNG, ZWEIGNIEDER.. et al.</b>                           |   |   |

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 2 sheets, including this cover sheet.  
☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consists of a total of \_\_\_\_\_

- This report contains indications relating to the following items:
  - ☒ Basis of the report
  - ☐ Priority
  - ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
  - ☐ Lack of unity of invention
  - ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
  - ☐ Certain documents cited
  - ☐ Certain defects in the international application
  - ☐ Certain observations on the international application

|   |   |
|---|---|
| Date of submission of the demand<br><b>06/10/2003</b>   | Date of completion of this report<br><b>11/03/2004</b>                |
| Name and mailing address of the IPEA/<br> European Patent Office<br>D-80298 Munich<br>Tel. (+49-89) 2399-0, Tx: 523656 epmu d<br>Fax: (+49-89) 2399-4465 | Authorized officer<br><b>HERMANN R R W</b><br>Tel. (+49-89) 2399 2828 |

Form PCT/IPEA/409 (cover sheet) P20476 (October 2002)

BEST AVAILABLE COPY

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

---

International application No. PCT/EP03/03150

**I. Basis of the report**

The basis of this international preliminary examination is the application as originally filed.

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The question of whether the claimed invention appears to be novel, to involve an inventive step, or to be industrially applicable has not been the subject of the international preliminary examination in respect of the claims which have not been searched (Article 17(2)(a) or (3) and Rule 66.1(e) PCT); see also international search report).

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability**

To the extent that the international preliminary examination has been carried out (see item III above), the following is pointed out:

In light of the documents cited in the international search report, it is concluded that the invention as defined in at least some of the claims, which have been the subject of an international search report, does not appear to meet the criteria mentioned in Article 33(1) PCT, i.e. does not appear to be novel and/or to involve an inventive step (see international search report, in particular the parts cited X and/or Y and corresponding claim references).